

Unannounced Observational Audit Checklist

Water Use

UA_01	From visual inspection, there is no evidence that the water sources and distribution systems may pose a contamination risk (damage, inadequately maintained, evidence of animal activity, connection with effluent systems)?
UA_01A	No other observations of improper use of water?

Soil Amendments

UA_01B	No evidence of undocumented use of soil amendments?
UA_01C	No evidence of improperly applied soil amendments?
UA_01D	No evidence of improperly stored soil amendments?
UA_01E	No other observations of improper use of soil amendments?

Environmental Factors

UA_02	No evidence of fecal contamination in the field?
UA_02A	No evidence of animals of significant risk in the field?
UA_02B	No evidence of non-compliance with distances as outlined in the Environmental Assessment?
UA_02C	No evidence that remedial actions such as animal barriers (fences, gates, grates, etc) are not in good repair and operational?
UA_02D	No other observations of environmental risk factors?

Work Practices

UA_03	No employees eating, drinking (except water), chewing tobacco or smoking in crop production actively harvested areas?
UA_03A	All employees observed to have washed their hands after; restroom usage, work breaks, or any returning to work occasion?
UA_03B	No evidence that sanitary facilities are not routinely clean and operational?
UA_03C	No evidence that worker hygiene rules have been violated during the crop cycle?
UA_03D	No evidence that Sanitary facilities are not adequately stocked with disposable supplies?
UA_03E	No improperly stored personal items observed in the field?
UA_03F	No evidence or observations that employees are not using the restrooms?
UA_03G	No employees with uncovered wounds, boils or cuts?
UA_03H	No employees with symptoms of infection or contagious disease?
UA_03I	No other observations of improper work practices?

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Field Sanitation

UA_04	No evidence of excessive non-vegetative debris in the field?
UA_04A	No evidence of open and/or unsupervised chemicals in the field?
UA_04B	No evidence of leaks and spills on equipment in the field?
UA_04C	No evidence of the use of non-sanitized farm equipment that may have come in contact with raw manure, untreated compost, waters of unknown quality, wildlife or domestic animals?
UA_04D	No evidence of other cross-contamination potential of product and/or product contact surfaces?
UA_04E	No other evidence of improper field sanitation?

General Requirements

UA_05	Is a specific individual assigned the food safety responsibility for harvesting?
UA_05A	Is a documented daily food safety harvest assessment available for review?
UA_05B	Is the assessment dated?
UA_05C	Is the individual who conducted the assessment identified?
UA_05D	Are the specific growing blocks associated with the assessment clearly identified?
UA_05E	Is the Harvester name and contact information documented?
UA_05F	Does it require a visual assessment for intrusion into the field for animals of significant risk?
UA_05G	All chemical storage containers are labeled appropriately?
UA_05H	Use of containers only as intended?